

PRINGLE® Compliance Policies & Audit Procedures— Credit Unions (regulated by the NCUA)

PRINGLE Compliance Policies & Audit Procedures is an electronic documentation resource providing an effective means to set up and maintain a comprehensive set of compliance policies and audit procedures. It contains all of the policies and procedures necessary to meet the requirements of a federal compliance exam.

PRINGLE Compliance Policies & Audit Procedures offers:

- Ready-to-use policies and audit procedures—right out of the box.
- Simple, easy-to-follow format to conveniently review applicable regulatory requirements.
- Delivery on CD-ROM.
- Software compatibility with Microsoft® Word for Windows®.
- Instructional tools (for the board of directors and compliance officers) to assist in implementation.
- Operations manual for easy reference.

How you can benefit from using PRINGLE Compliance Policies & Audit Procedures:

- You'll receive comprehensive information on the areas of law expected to be covered in a federal compliance exam as regulated by the National Credit Union Administration (NCUA).
- You'll save time researching and developing policies.
- You'll have immediate access to policies, procedures, and legal citations.
- You'll receive regular updates to keep your documentation current.
- You'll receive valuable audit check lists to help you verify compliance.
- You'll receive periodic informational newsletters; toll-free software and legal support; and optional implementation, training, and auditing assistance (this optional assistance is priced separately).

General Policies and Procedures

Consumer Complaints
Emergency Preparedness, Business Recovery,
and Corporate Contingency Planning
Fair Credit Reporting Act
Federal Bank Bribery Act and Insider Transactions
Federal Bank Secrecy Act, Anti-Money Laundering,
and Customer Identification
Internal Compliance Review
Internet Banking Consumer Disclosures
Privacy, Suspicious Activity Reports,
and Subpoenas
Safeguarding Member Information and
Information Security
Security Procedures

Lending Policies and Procedures

Consumer Leasing (Regulation M)
Credit Practices
Equal Credit Opportunity Act (Regulation B)
and Fair Lending
Fair Debt Collection Practices Act
Fair Housing Act
Flood Disaster Protection Act
Home Mortgage Disclosure Act (Regulation C)
Real Estate Appraisals
Real Estate Settlement Procedures Act
Truth-in-Lending Act (Regulation Z)

Deposit Policies and Procedures

Advertising of Accounts and Truth-in-Savings Act
Electronic Fund Transfer Act (Regulation E)
Expedited Funds Availability Act and Check 21 Act
(Regulation CC)
Utilities
Abbreviated Audit
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Monthly Compliance Report to Board of Directors
Organizational Chart
Resolution of the Board of Directors

To see an online demo of the PRINGLE[®] Compliance Policies & Audit Procedures solution, visit www.WoltersKluwerFS.com/pringle-demo.

For more information, visit our web site at www.wolterskluwerfs.com, contact your Wolters Kluwer Financial Services | Bankers Systems Account Representative, or call us toll free at 1-800-552-9410.

