

OneSumX[®] FOR FINANCIAL CRIME CONTROL

Organized crime groups, terrorists and fraudsters are increasingly using sophisticated international networks and financial systems to move or store funds and assets or commit fraud. Financial institutions are particularly vulnerable due to the nature of their businesses and the volume of transactions and client relationships they manage. Global financial institutions also face multiple legal and regulatory requirements in the UK, US and other countries where they do business.

Recent years have seen highly punitive fines on weaknesses related to money laundering and financial crime control failures. In a period of heightened regulatory scrutiny and continuing globalization of financial crime regulation, organizations are faced with greater challenges to achieving and maintaining compliance.

Regulators are continuing with their determination to prevent illicit activity and placing real pressure on compliance executives to prevent further failings.

OneSumX for Financial Crime Control (FCC) offers a wide variety of solutions—from risk and fraud management to ongoing governance products—that are designed to tackle these challenges head on. Ultimately, our goal is to help you identify and secure critical gaps in your business processes to better mitigate losses, while reducing your escalating costs and impractical task administration.



OneSumX for Financial Crime Control allows organizations to be proactive about emerging areas of potential vulnerability, examine activity continuously, perform ongoing existing customer risk assessments and respond quickly and efficiently to suspicious activity. The comprehensive OneSumX FCC suite features a number of crime fighting solutions that monitor, screen and provide visibility into these key financial crime risk areas:

Customer Due Diligence (CDD) helps institutions combat identity theft by allowing them to verify and validate the identity of potential account applicants and existing customers. It also helps institutions meet all Customer Identification/Know Your Customer (CIP/KYC) requirements outlined in the USA PATRIOT Act.

Anti-Money Laundering (AML) offers profiling and transactional analysis that helps institutions electronically create and file Suspicious Activity Reports which are required under the Bank Secrecy Act in the U.S.

Currency Transaction Reporting (CTR) helps users meet the FinCEN's new stringent currency transaction reporting requirements, as well as automating the process to make it much more efficient.

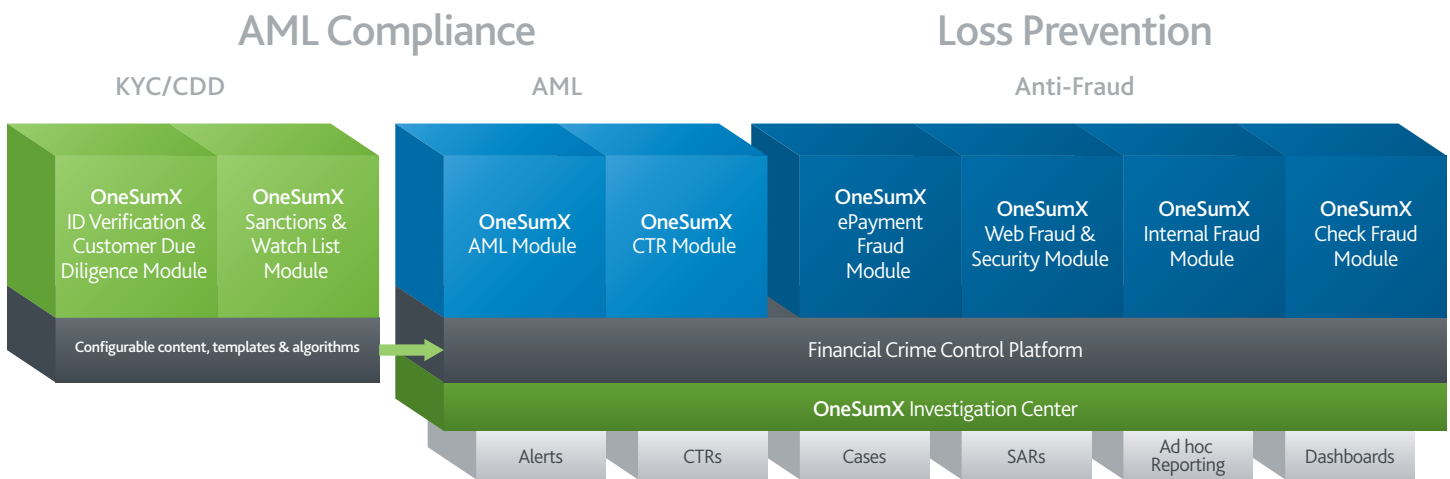
Anti-Fraud uses investigative logic and analytics to help identify suspicious customer transactions and activities like wire transfers, ACH transactions and fraudulent checks. It automatically flags these transactions for further investigation. Real-time, enterprise-level monitoring with rules created by the institution helps identify suspicious employee activity.

Enhanced Case Management (ECM) provides a platform to review customer information, transactions and account activity from many different core systems and use this research and analysis to form a clear picture of suspected fraud.



Without a comprehensive Financial Crime Control program, you face the risk of:

- Financial losses from theft and fraud
- Costly fines that directly impact your bottom line
- Legal battles that absorb critical financial and human resources
- Reputational damage from which it may be difficult to recover



Wolters Kluwer Financial Services provides industry leading, risk-based productivity tools for financial crime professionals, enabling collaboration to better manage risk, protect customers and secure institutional assets and reputation. Our staff of financial crime and technology experts, including many former bankers and regulators, understands the challenges of preventing financial crime and achieving regulatory compliance. We create expert solutions, delivered efficiently and effectively.

Wolters Kluwer Financial Services is committed to protecting your financial institution and keeping you compliant at all times. Our technology solutions include alerts that accurately recognize money laundering and fraudulent activity, as well as monitoring and screening analytics with risk and control reporting to give you a structured, overarching view of the state of your financial crime risk.



ABOUT WOLTERS KLUWER FINANCIAL SERVICES

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