In financial services, the only constant is change.

In the highly competitive and increasingly regulated financial services environment, the only constant is change. Amidst all this motion, tasked with selecting an enterprise-level tax processing & compliance solution, how can you be confident you are choosing the right one? Yet, with hundreds of thousands of dollars on the line, you can’t afford a wrong decision. When it comes to tax lot accounting, cost basis calculations and tax compliance reporting, GainsKeeper delivers proven solutions built on expertise you can trust.
Intelligent Tax Technology you can count on.

Proven tax solutions you can trust.
Get the GainsKeeper functionality you need, while protecting your IT investments.

Count on GainsKeeper.
Since its launch in 2000, GainsKeeper has become a best of breed automated tax processing solution. Leveraging a sophisticated tax lot accounting engine, GainsKeeper is already processing more than 15 million accounts and automating tax reporting for more than 3,000 U.S. mutual and hedge funds.

Highly flexible, GainsKeeper integrates seamlessly with a multitude of downstream systems and can be tailored to fit into your particular environment. This allows you to leverage and protect your existing IT investments. Our experience includes numerous high-volume implementations — with many clients processing more than 1 million accounts and repeated successes on-boarding additional accounts via acquisitions.

Tax Expertise + Technology Expertise — Equaled by None.
GainsKeeper professionals include a dedicated Tax Director, with close to 30 years of experience, and a technology team with significant expertise. Each year, we further infuse our solutions with additional, sophisticated, tax-accurate functionality to keep up with the changing regulatory and market landscapes. We are tax lot accounting experts.

Part of a Global Leader.
GainsKeeper is part of Wolters Kluwer Financial Services, the largest financial services compliance information & solutions provider in the U.S. and part of Wolters Kluwer, the world’s third largest information services company, with 2008 sales of approximately €3.4 billion ($4.9 billion).

The Choice is Yours.
Sure, there are alternative solutions out there. But what good is tax processing software minus significant tax expertise? Even if you have an expert tax department, what percentage of its time should be spent educating your technology department about tax? Further, can you confidently trust a solution that is yet to be built? This is why so many leading financial services firms rely on GainsKeeper’s proven expertise for automated tax calculating and reporting solutions that increase accuracy, efficiencies & customer satisfaction — they add up.
In tax reporting, there is only one acceptable answer – the correct one.

With the rapidly changing regulatory landscape and increased scrutiny in the financial services sector, there is no margin for tax reporting errors. Inaccuracies can incur significant financial penalties as well as damage to your hard earned reputation. When it comes to accurate tax reporting & compliance, there is only one acceptable answer — the correct one.
Maximize Tax Accuracy, Minimize Regulatory & Reputation Risks.

Because GainsKeeper’s highly scalable, cutting edge technology processes in real-time, it maximizes transaction processing accuracy. This is why so many financial services leaders already rely on GainsKeeper solutions to automate tax processing accurately, and, in doing so, to reduce regulatory & reputation risks.

Facing a tax reporting compliance deadline, a leading provider of fund accounting & administrative reporting services contacted the GainsKeeper team on a Friday morning and furnished five years worth of incomplete data that needed wash sale calculations, including across multi-managed funds, that afternoon. Working all night, the GainsKeeper team successfully tracked missing information, reconciled the data and delivered the accurate reports to the client at 8 am the next morning.

Cost Basis Reporting = Law.

The required reporting of cost basis by brokers to the IRS and to customers became law as part of the Emergency Economic Stabilization Act of 2008. With the initial phase requiring reporting for stocks on the effective date of January 1, 2011, it’s time to take action to comply. Failure to do so could result in both significant financial penalties, as well as serious customer relationship issues. More than ever, tax accuracy is essential.

GainsKeeper = Cost Basis Reporting Compliance.

GainsKeeper is available now with the proven functionality to accurately calculate the full range of corporate actions, holding period adjustments and wash sale deferrals, as well as the ability to apply multiple lot selection methods as required under this law.

Accurate
More than 3,000 funds rely on GainsKeeper for accurate tax reporting that meets the scrutiny of accounting firm annual tax audits.

Ahead of the Cost Basis Reporting Compliance Curve.

“In examining the law’s potential requirements and knowing that we could leverage GainsKeeper’s tax expertise and technology, it was clear this partnership would be very beneficial to our organization and our clients,” said Christine Polak, Vice President of Operations at OppenheimerFunds, Inc. “We were impressed with GainsKeeper’s ability to provide detailed cost basis and capital gains calculations, allowing us to automate a complex process and have ample lead time in preparing for the new cost basis reporting law.”
Streamline tax calculations and reporting.

Calculating capital gains & losses with accurate cost basis — adjusted for corporate actions and wash sales, can be a tedious, error-prone, costly and often manual process. By automating the computation of complex and changing tax calculations and reporting, GainsKeeper streamlines tax processing, enabling your firm to realize a multitude of efficiencies.
Efficient

A major brokerage firm reduced processing time for an account with 14 million tax sub-lots from 6 hours to just under ten seconds with GainsKeeper.

Flexibility = Maximize Efficiencies.

Because GainsKeeper offers a highly flexible platform that integrates seamlessly with a multitude of downstream systems, it allows you to leverage your existing IT investments and to minimize workflow inefficiencies. Further, GainsKeeper is inherently scalable, so it can easily grow with your organization — without compromising system performance or operational efficiencies.

For example, when a leading brokerage firm upgraded its GainsKeeper platform from supporting 500 thousand accounts to 7.5 million accounts, customers experienced no decrease in system response rate or performance during the migration. This is why so many brokerage and fund investment leaders rely on GainsKeeper for sophisticated tax lot accounting and to realize greater efficiencies.

+ Time.

Because it automates the calculation of capital gains and losses with the cost basis adjusted for corporate actions and wash sales, GainsKeeper saves valuable staff time. For example, a leading full service brokerage firm reduced time spent calculating corporate actions and cost basis from days — to just seconds.

+ Money.

Additionally, GainsKeeper can significantly reduce tax processing costs. A part of a leading global financial services firm with assets of $2.1 trillion and more than 700 investment funds reduced fund tax processing costs by 50% with GainsKeeper.

The Value of Time.

A mutual fund division at one of the largest investment houses had a small team of highly skilled tax professionals spending approximately 85% of its time on tedious, manual, error prone calculation activities, such as booking of wash sales and cancels & rebooks of corporate events, resulting in high and costly staff turnover. To automate these processes, the team selected GainsKeeper. The firm now has access to same day reporting, saving valuable staff time and increasing reporting accuracy.
Gain a competitive edge.

In addition to meeting ever changing regulatory requirements, the success of your firm is contingent upon your ability to stay on top of the intensely competitive financial services environment. More than ever, you need sophisticated technology to meet both regulatory and customer-driven requirements to stay alive. By providing a sophisticated suite of advanced tax processing tools, you can increase customer offerings & customer satisfaction.
Sophisticated Tools = Increased Customer Satisfaction.

GainsKeeper includes automated accounting and analytical tools to help investors become smarter about the impact of taxes on real investment returns. Investors are able to generate pre-trade analyses, increase after-tax returns with tax minimization tools and avoid wash sales. Additionally, GainsKeeper leverages corporate actions data from Capital Changes, also part of Wolters Kluwer Financial Services, and a source relied upon by the IRS.

With these analytical tools seamlessly integrated into your web site, your clients can make better informed trading decisions and your firm can generate higher trading volumes. GainsKeeper can help your firm strengthen customer relationships, and, in doing so, reduce customer turnover.

Performance Counts.

GainsKeeper’s performance module leverages existing tax lot account data, allowing brokers and advisors to provide investors with comprehensive metrics and benchmarking to help evaluate results.

Greater than Compliance.

“When the new cost basis laws go into effect beginning in 2011, the ability to track and manage cost basis information must become more automated...in order to make tax efficient strategies available to a much wider audience of investors and advisors, who can then gain from tax efficient trading strategies.”

Powerful Tools for Smart Tax Moves.

“By offering GainsKeeper to our customers, we are able to help them simplify the complicated tax calculations they typically face [at tax season] and gain access to tools that can help them make tax smart trading decisions year round,” said Peter Gschweng, Vice President at Firstrade.

Satisfied

By using our sophisticated tax efficient trading tools, your customers can realize significant long-term investment return improvements.
GainsKeeper Solutions

GainsKeeper, from Wolters Kluwer Financial Services, is an award-winning, best of breed automated tax processing solution. Leveraging a powerful tax lot accounting (TLA) engine, GainsKeeper offers a suite of tax smart tools to brokers, fund investments, active traders and individual investors. GainsKeeper calculates, tracks and reports adjusted cost basis, capital gains / losses, corporate actions and wash sales. Plus, GainsKeeper generates the IRS Schedule D & Schedule D-1 and reports on broad-based section 1256 (Form 6781) options.

GainsKeeper for Brokerages.

GainsKeeper is available now with the functionality brokerages need to automate processes to comply with the cost basis reporting law. GainsKeeper also facilitates sophisticated trading strategies, such as portfolio optimization and tax loss harvesting, giving brokerages a competitive edge.

GainsKeeper FundTaxPro for Fund Investments.

GainsKeeper FundTaxPro automates tax calculations & reporting — including wash sale adjustments, straddle tracking, REITs and QDIs — for mutual funds, hedge funds and transfer agents.

GainsKeeperPro for Active Traders & Individual Investors.

GainsKeeperPro provides web-based financial tools that meet the complex tax reporting and portfolio analysis needs of individual investors & active traders — including those having made a mark-to-market election.

GainsKeeper BasisPro.

GainsKeeper BasisPro automates historical cost basis calculations for all U.S. equities and mutual funds. This solution accesses historic prices as far back as 1972 and tracks corporate actions back to 1950.
For more information about GainsKeeper®, visit www.gainskeeper.com.

For more information about the cost basis reporting law, visit www.costbasisreporting.com.

Intelligent Tax Technology® you can count on.
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Wolters Kluwer Financial Services provides best-in-class compliance, content, and technology solutions and services that help financial organizations manage risk and improve efficiency and effectiveness across their enterprise. The organization’s prominent brands include Bankers Systems, VMP® Mortgage Solutions, PCI, AppOne®, GainsKeeper®, Capital Changes, NILS, AuthenticWeb™ and Uniform Forms™. For more information, visit www.wolterskluwerfs.com.

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